

# DFT and Kinetic Monte Carlo Study of TMS-Substituted Ruthenium Vinyl Carbenes: Key Intermediates for Stereoselective Cyclizations

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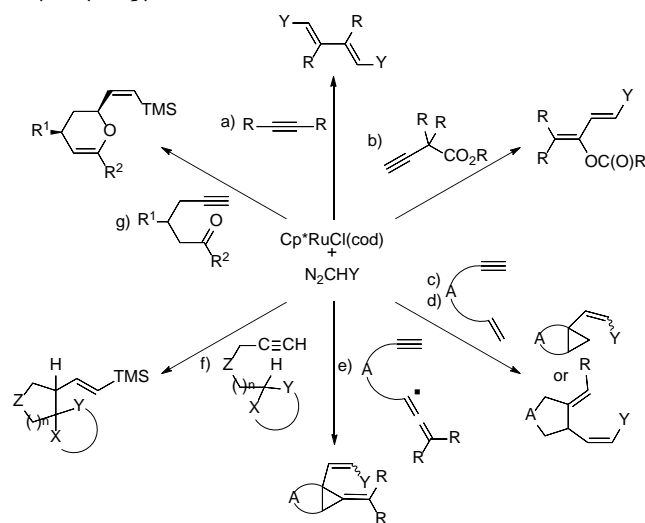
**ABSTRACT:** Mechanistic pathways for the cyclization of 1,5-alkynylacetal with N<sub>2</sub>CHTMS in the presence of Cp- and Cp\*RuCl(cod) to afford *Z* and *E* (trimethylsilyl)vinyl spiroacetals have been calculated. Calculations show the presence of three conformers in equilibrium for the initially formed ruthenium carbenes. Differences in the stabilities and reactivities of the conformers, depending on the use of a Cp- or Cp\*-ruthenium catalyst, are responsible for the favorable active reaction pathways in each case, even though the geometry of the resulting product is the same regardless of the catalyst used. Kinetic Monte Carlo (KMC) simulations with rate coefficients, including tunneling probabilities for the hydride transfer step, were used to model the evolution of reactants, intermediates and products for all calculated pathways. It was shown that one path is almost exclusively active for each catalyst. Finally, the energetic span model of Kozuch and Shaik was used to calculate the energetic span ( $\delta E$ ), the TOF-determining transition state (TDTS), the TOF-determining intermediate (TDI) and the TOF value for each of the feasible mechanistic pathways.

**KEYWORDS.** Density functional calculations, energetic span model, kinetic Monte Carlo simulations, ruthenium vinyl carbenes, TOF.

## 1. INTRODUCTION

Metal carbene complexes have proven their value in organic synthesis due to the number of catalytic organometallic transformations in which they are involved. For example, such complexes have been investigated in a wide variety of alkene metathesis transformations such as cross metathesis (CM), ring-closing metathesis (RCM), acyclic diene metathesis (ADMET), and ring-opening metathesis polymerization (ROMP),<sup>1</sup> as well as in reactions with alkynes such as alkyne polymerization,<sup>2</sup> enyne metathesis,<sup>3</sup> cyclopropanation,<sup>4</sup> etc. Recently, the formation of substituted ruthenium vinyl carbene intermediates under mild conditions by treatment of the precatalyst Cp\*RuCl(cod) in the presence of the precatalyst Cp\*RuCl(cod) has been described.<sup>5</sup> Interestingly, the nature of the reaction products is strongly dependent on the alkyne functionality whereas the stereoselectivity is more affected by subtle structural features in both the diazoalkanes and substrates (Scheme 1): (a) simple alkynes<sup>6</sup> and (b) propargylic carboxylates<sup>7</sup> furnished mixtures of 1,3-dienes by double carbene addition to the triple bond, coupling of the carbene with the alkyne terminal carbon and 1,2-shift of the carboxylate, respectively; (c) enynes with terminal triple bonds led to mixtures of diastereomers of alkenyl bicyclo[x.1.0]alkanes;<sup>8</sup> (d) 1,6-enynes disubstituted at the propargylic positions afforded alkenyl alkylidene cyclopentanes;<sup>8</sup> (e) 1,6-allenynes were converted to *E,Z*-mixtures of alkenyl alkylidene bicyclo[3.1.0]hexanes;<sup>9</sup> (f) alkynyl acetals, ethers and amines afforded complex *E* isomers of spiro and fused bicyclic structures by an intramolecular neutral redox process that involves hydride transfer to the carbene,<sup>10</sup> and (g) nucleophilic attack of carbonyl

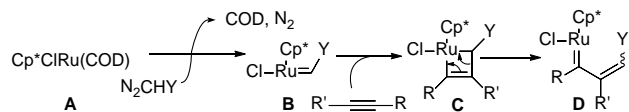
nucleophiles to the carbene center led to *Z* isomers of 2-vinylidihydropyrans.<sup>11</sup>



**Scheme 1.** *E, Z* geometries on alkenes obtained from catalytic Cp\*RuCl(cod) and diazoalkanes with: a) alkynes, b) propargylic carboxylates, c) enynes, d) 1,6-enynes, e) 1,6-allenynes, f) alkynyl acetals, ethers and amines, and g) alkynyls and alkyones

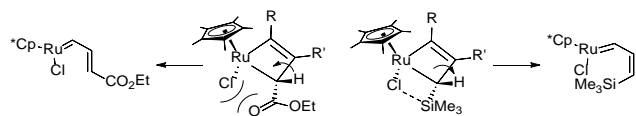
The mechanistic hypotheses are all based on the initial generation of the 16 e<sup>-</sup> ruthenium carbene intermediate **B** by displacement of

the cod ligand of precatalyst **A** with the diazoalkane and concomitant release of N<sub>2</sub>. Subsequent coordination of the alkyne followed by a facile [2+2] cycloaddition would give rise to the ruthenacyclobutene **C**, which would undergo a cycloreversion to the ruthenium vinyl carbene **D**, in which the alkene geometry is not easily predictable (Scheme 2).<sup>1</sup>



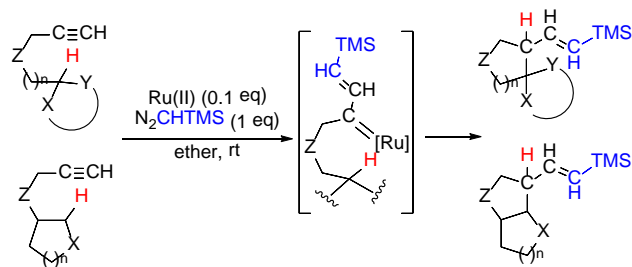
**Scheme 2. Mechanistic hypothesis for the formation of ruthenium vinyl carbenes**

The stereochemistry of this double bond is determined, according to the proposed mechanism, during the electrocyclic opening of the ruthenacyclobutene **C**, in which the Cp\* and Y groups should be *anti* to avoid unfavorable steric interactions. Thus, if Y = SiMe<sub>3</sub> a *Z*-configuration is favored because strong interactions are established between SiMe<sub>3</sub> and Cl groups. On the other hand, if Y ≠ SiMe<sub>3</sub> then steric interactions are the major factors and this leads to the *E*-configuration (Scheme 3).<sup>6,7</sup>



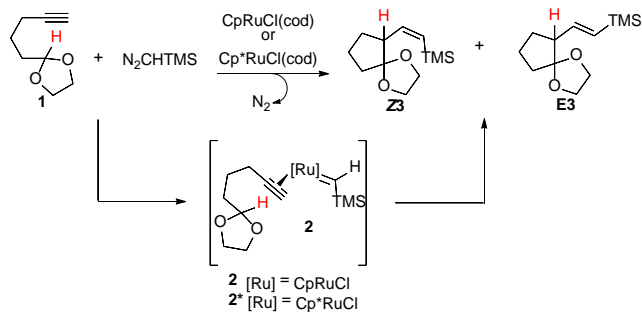
**Scheme 3. *Z*- and *E*-vinyl carbenes by ring-opening of ruthenacyclobutenes**

Nevertheless, treatment of alkynyl acetals, ethers and amines with N<sub>2</sub>CHY (Y = TMS) in the presence of catalytic amounts of Cp\*RuCl(cod) gave, in most cases, the corresponding cyclic compounds with an *E*-configuration on the vinylsilane group. A hydride transfer from a C<sub>sp3</sub>-H to an initially formed ruthenium (trimethylsilyl)vinyl carbene with *E*-geometry might be involved (Scheme 4).<sup>10</sup>



**Scheme 4. Spirocyclic and bicyclic compounds from ruthenium vinyl carbenes**

In an effort to explain these apparent geometrical discrepancies, we present here a detailed theoretical mechanistic study using DFT calculations for the reaction of alkynyl acetal **1** with (trimethylsilyl)diazomethane in the presence of Cp- or Cp\*RuCl(cod) to afford the corresponding spiroacetals **Z3** and **E3** (Scheme 5). The calculated theoretical rate constants for each step in the catalytic cycle would allow the determination of the most favorable pathway using Kinetic Monte Carlo (KMC) simulations, as well as the theoretical TOF of the catalytic cycle. Special attention was paid to the geometrical isomer formed.



**Scheme 5. Spiroacetals from ruthenium-catalyzed cyclization of alkynylacetals with (trimethylsilyl)diazomethane**

## 2. COMPUTATIONAL DETAILS

All electronic structure calculations were performed using the Gaussian 09 software package<sup>12</sup> at the CESGA facilities. The geometries of all minima and transition states involved were optimized at the B3LYP<sup>13</sup> level with the double- $\zeta$  LANL2DZ<sup>14</sup> basis set and the LANLD2Z pseudopotential<sup>15</sup> to describe the electrons of the ruthenium atom. The 6-31G(d) basis set was employed for all other atoms.<sup>16</sup> Frequency calculations were performed at the same level to evaluate the zero-point vibrational energy and thermal corrections at 298 K and to confirm the nature of the stationary points, yielding one imaginary frequency for the transition states and none for the minima. Each transition state was further confirmed by following the steepest descent to both sides and identifying the minima present in the reaction energy profile. Single-point energies were calculated using B3LYP with the addition of Grimme's D3 dispersion corrections<sup>17</sup> (B3LYP-D3) within the self-consistent reaction field (SCRF) using the SMD model (diethyl ether)<sup>18</sup> with the same basis set and pseudopotential for the ruthenium atom and 6-311++G(d,p)<sup>19</sup> for all other atoms. The resulting energies were used to correct the gas-phase energies obtained from B3LYP calculations. The reaction profiles were built up in terms of  $\Delta G_{\text{sol}}$ .

Kinetic Monte Carlo (KMC) simulations<sup>20</sup> were employed to model the time evolution of reactants, intermediates and products. KMC is a very useful Monte Carlo simulation for modeling the transient behavior of various molecular species that participate in many highly coupled steps. The thermal rate constants  $k(T)$  for each individual step, which give the probability of taking a particular path in the KMC run, are calculated from the Eyring transition state theory (TST) expression:<sup>21</sup>

$$k(T) = \kappa \frac{k_B T}{h} e^{-\frac{\Delta G^\ddagger}{RT}}$$

where  $k_B$  is the Boltzmann constant and  $\kappa$  is the transmission coefficient. In this study the temperature  $T$  was set to 298 K and the transmission coefficient was one for all steps, except for the hydride-transfer reactions. In these cases, the Eckart potential<sup>22</sup> was employed to compute the tunneling probabilities, which can then be integrated to obtain the transmission coefficient.<sup>23</sup>

## 3. RESULTS AND DISCUSSION

Firstly, a conformational study of the ruthenium-carbene bond<sup>24</sup> of the initially formed carbene **2** ([Ru] = CpRuCl) was carried out (Scheme 5). Three minimum conformations were found: complex **2a**, which has the TMS group opposite to the Cp ring, complex **2b**, which has the TMS group away from the ruthenium alkyne ligand

( $\Delta G^\ddagger$  1.1 kcal mol<sup>-1</sup> less stable than **2a**), and complex **2c**, which has the TMS group close to the ruthenium alkyne ligand ( $\Delta G^\ddagger$  0.3 kcal mol<sup>-1</sup> more stable than **2a**). The values for the Gibbs free energy of activation  $\Delta G^\ddagger$  found for the rotation of the carbene-ruthenium bond (4.9, 2.0 and 4.9 kcal mol<sup>-1</sup> for the transformation of **2a** → **2b** → **2c** → **2a**) indicate that all carbene conformers are present in the initial equilibrium (Figure 1).

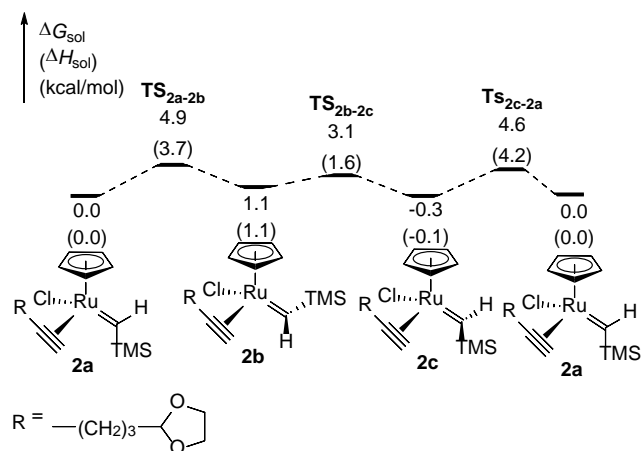


Figure 1. Free energy profile for the conformational analysis of ruthenium-carbene **2**. Energies are relative to **2a** and are mass balanced.

The [2+2] cycloadditions between the alkyne and the Ru-carbene from conformers **2a** and **2c** were subsequently analyzed.<sup>25</sup> In both cases, a  $\eta^3$ -vinyl carbene complex was obtained instead of a ruthenacyclobutene; thus, from **2a** the  $\eta^3$ -vinyl carbene **Z4** was obtained with  $\Delta G^\circ = -29.3$  kcal mol<sup>-1</sup> and  $\Delta G^\ddagger = 6.6$  kcal mol<sup>-1</sup> and from **2c** the  $\eta^3$ -vinyl carbene **E5** was produced with  $\Delta G^\circ = -34.0$  kcal mol<sup>-1</sup> and  $\Delta G^\ddagger = 5.1$  kcal mol<sup>-1</sup> (Figure 2).<sup>26</sup> According to the Curtin–Hammett principle,<sup>27</sup> when two products are irreversibly formed at different reaction rates from two conformers in equilibrium, the observed product distribution will not reflect the equilibrium distribution of the conformers. Thus, the mechanistic study was continued from the two isomers **Z4** and **E5**.

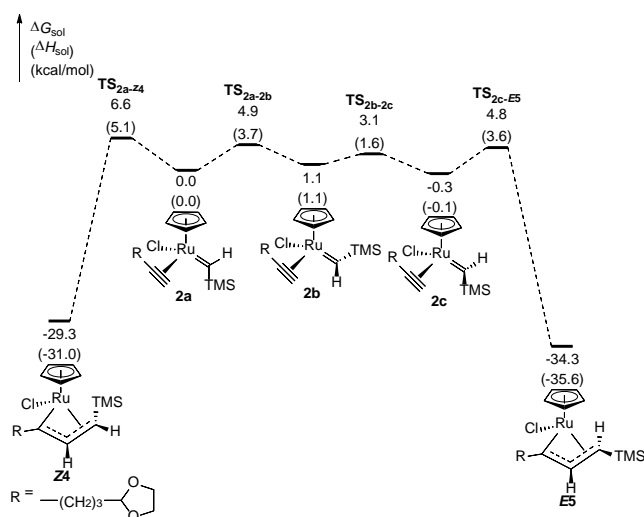


Figure 2. Free energy profile for the formation of **Z4** and **E5** from **2a** and **2c**, respectively. Energies are relative to **2a** and are mass balanced.

Once **Z4** and **E5** had been formed, it was possible to find a path for their isomerization to **E4** and **Z5**, respectively (Figure 3). In the case of **Z4**, this isomerization occurs in two steps: transformation of **Z4** into the intermediate ruthenacyclobutene **I**, in which the TMS group and the Cp ring are *trans* ( $\Delta G^\circ = 11.0$  kcal mol<sup>-1</sup> and  $\Delta G^\ddagger = 17.5$  kcal mol<sup>-1</sup>), and transformation of **I** to **E4** ( $\Delta G^\circ = -13.9$  kcal mol<sup>-1</sup> and  $\Delta G^\ddagger = 3.9$  kcal mol<sup>-1</sup>). On the other hand, the much more unfavorable transformation of **E5** to **Z5**, both thermodynamically and kinetically, occurs in only one step with  $\Delta G^\circ = 5.3$  kcal mol<sup>-1</sup> and  $\Delta G^\ddagger = 33.2$  kcal mol<sup>-1</sup>. In this last transformation, the ruthenacyclobutene that is analogous to **I**, but with the TMS group *cis* to the Cp ring, was found to be the transition state **TS<sub>E5-Z5</sub>**, probably due to the instability caused by the *cis* disposition of the TMS and the Cp ring.

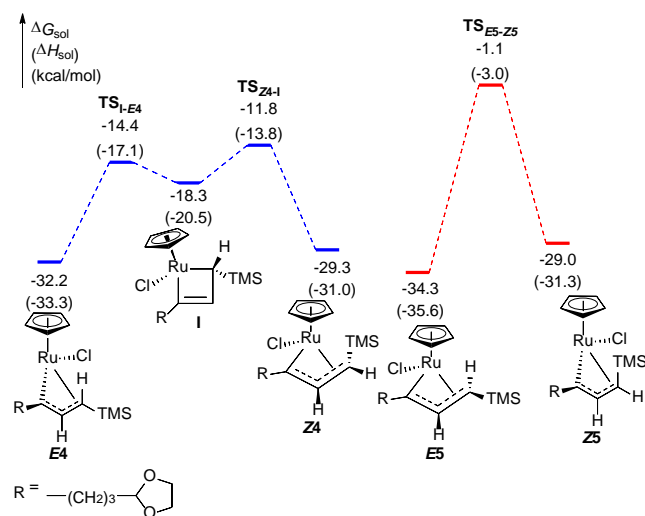


Figure 3. Free energy profiles for the isomerization of **Z4** and **E5** into **E4** and **Z5** through Cp ruthenacyclobutenes **I** and **TS<sub>E5-Z5</sub>**, respectively. Energies are relative to **2a** and are mass balanced.

In summary, the two structures **Z4** and **E4** can be envisaged as resulting from the two possible ring opening conrotatory electrocyclicizations of the ruthenacyclobutene **I** (TMS and Cp *trans*), whereas **E5** and **Z5** would result from the same reaction type on the transition state **TS<sub>Z5-E5</sub>** (structurally analogous to intermediate **I** but with TMS and Cp *cis* to one another). Therefore, the spiroacetal **Z3** will arise from the hydride transfer to the ruthenium carbene in species **Z4** and **Z5** while spiroacetal **E3** will arise from **E4** and **E5**.

In order to facilitate the hydride transfer to the ruthenium-carbene it is necessary to place the hydrogen in an appropriate position, which requires a prior conformational change of the alkynyl acetal ligand. The energetically most favorable conformations would be those that allow a six-membered transition state in a chair conformation. Considering that there are two chair-like conformations and that the hydride can attack the carbene at either the *Si* or *Re* face, there are a total of sixteen different geometries suitable for the hydride transfer, i.e., four for each isomer. For example, in the case of **Z4** there are two possible conformers, **Z4a<sub>Si</sub>** and **Z4b<sub>Si</sub>**, for the attack of the hydride on the *Si* face of the Ru-carbene and other two conformers, **Z4a<sub>Re</sub>** and **Z4b<sub>Re</sub>**, in the case of attack on the *Re* face

(Figure 4). For the other isomers, *E4*, *E5* and *Z5*, an analogous nomenclature will be used.

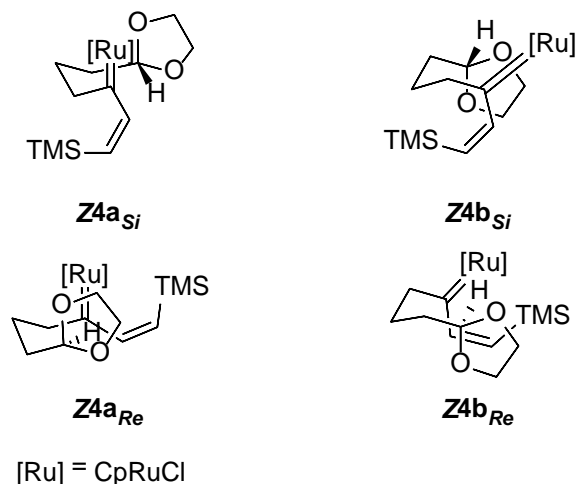


Figure 4. Suitable conformations for the hydride transfer to the ruthenium-carbene in the case of isomer *Z4*.

We next tried to calculate the energy profiles for each of the sixteen possible geometries found, but only eleven were connected with the final reaction products: *Z4a<sub>Si</sub>*, *Z4b<sub>Re</sub>*, *E4a<sub>Si</sub>*, *E4b<sub>Si</sub>*, *E4a<sub>Re</sub>*, *E4b<sub>Re</sub>*, *E5a<sub>Si</sub>*, *E5b<sub>Si</sub>*, *E5b<sub>Re</sub>*, *Z5a<sub>Si</sub>* and *Z5b<sub>Si</sub>*.<sup>28</sup>

The KMC study allowed us to determine the theoretical branching ratio of spiroacetals (*Z3*/*E3*) obtained as well as the preferred pathways. Considering that all molecules of the initially formed carbene species **2** are in the conformation represented by **2a**, the KMC simulations indicate that the system evolves almost exclusively to isomer *E3* (Figure 5).<sup>29</sup> Initially, the population of ruthenium carbene **2a** decreased to produce the  $\eta^3$ -vinyl carbene **Z4** and the most stable conformer **2c**, which quickly evolved to  $\eta^3$ -vinyl carbene *E5*. After 1.2  $\cdot 10^{-8}$  s all of the initial ruthenium carbene **2** was transformed into **Z4** and *E5* in a 7:93 ratio. After formation of *E5*, this intermediate started to evolve to the final product *E3* which was produced in 93% yield through the paths involving intermediates *E5b<sub>Si</sub>* and *E5a<sub>Si</sub>* in a 83:10 ratio (Figure 5). Intermediate **Z4** had a longer life time, evolving after 2  $\cdot 10^{-3}$  s to the final product **Z3** in 7% yield through intermediate *Z4b<sub>Re</sub>* and *Z4a<sub>Si</sub>* in a 6:1 ratio.

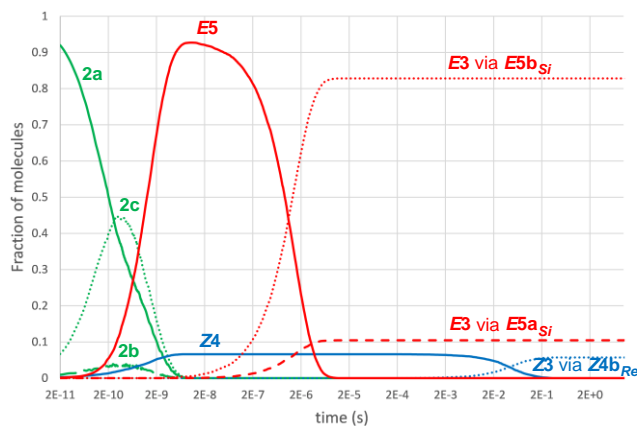


Figure 5. Fraction of molecules as a function of time obtained in the KMC simulations.

Thus, the main pathway to obtain *E3* involves the isomer *E5* and it goes through conformer *E5b<sub>Si</sub>* (Figure 6). The initial step is the conformational change of *E5* to the chair-like conformer *E5b<sub>Si</sub>*, which is 0.4 kcal mol<sup>-1</sup> more stable and more suitable for the hydride transfer.<sup>30</sup> Hydride migration to the *Si* face of the  $\eta^3$ -vinyl carbene *E5b<sub>Si</sub>* afforded species **II**, in which the ruthenium is  $\eta^2$  coordinated to the alkene of the final product *E3* ( $\Delta G^\ddagger = -21.1$  kcal mol<sup>-1</sup>,  $\Delta G^\ddagger = 9.8$  kcal mol<sup>-1</sup>). Decoordination of the ruthenium will afford free *E3* while reaction of the metal species with **1** and (trimethylsilyl)diazomethane regenerates catalytic species **2** with the evolution of N<sub>2</sub>. The total  $\Delta G^\ddagger$  for the formation of *E3* from **1** + N<sub>2</sub>CHTMS is -74.1 kcal mol<sup>-1</sup>.

The energetic span model of Kozuch and Shaik was also employed to calculate the energetic span ( $\delta E$ ), the TOF-determining transition state (TDTS), the TOF-determining intermediate (TDI) and the TOF value for each of the calculated mechanistic pathways.<sup>31</sup> In this case, the energetic span for this pathway was 9.8 kcal mol<sup>-1</sup> (where the TDI is *E5b<sub>Si</sub>* and the TDTS is the transition state for the hydride migration from *E5b<sub>Si</sub>* to **II**) and the calculated TOF was 2.8  $\cdot 10^5$  s<sup>-1</sup>. The other ten possible pathways have both higher energetic span,  $\delta E$ , and smaller TOF,<sup>32</sup> which is in good agreement with the results of the KMC simulation and indicates that the path involving intermediate *E5b<sub>Si</sub>* is the most active for the studied transformation.

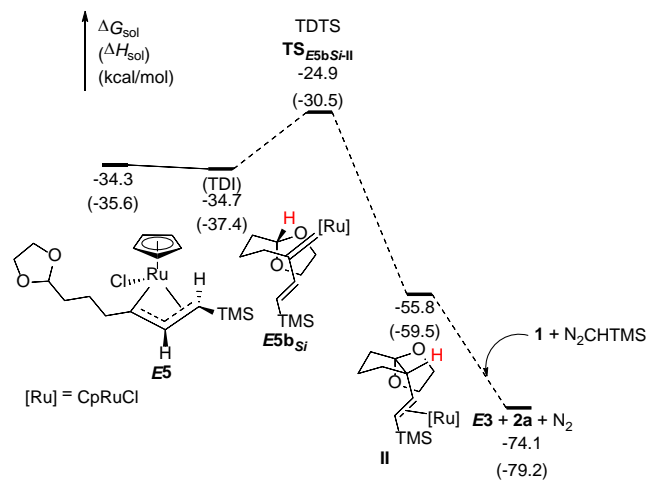


Figure 6. Free energy profile for the formation of *E3* from *E5* through conformer *E5b<sub>Si</sub>*. Energies are relative to **2a** and are mass balanced.

To support the reliability of the energy profiles calculated, further geometry optimizations and single point energy calculations have been carried out using  $\omega$ B97XD functional<sup>33</sup> (which includes a version of Grimme's D2 dispersion corrections),<sup>34</sup> using the same basis sets and implicit solvent model as in the previous B3LYP-D3 study for: i) conformational analysis of ruthenium-carbene **2**, ii) formation of **Z4** and *E5* from **2a** and **2c**, respectively, iii) isomerization of **Z4** and *E5* into *E4* and *Z5*, respectively, and iv) formation of *E3* from *E5* through conformer *E5b<sub>Si</sub>* (Figure 7). Importantly, the results obtained using  $\omega$ B97XD and B3LYP-D3 functionals show a very good agreement, reinforcing the confidence in the present computational study.

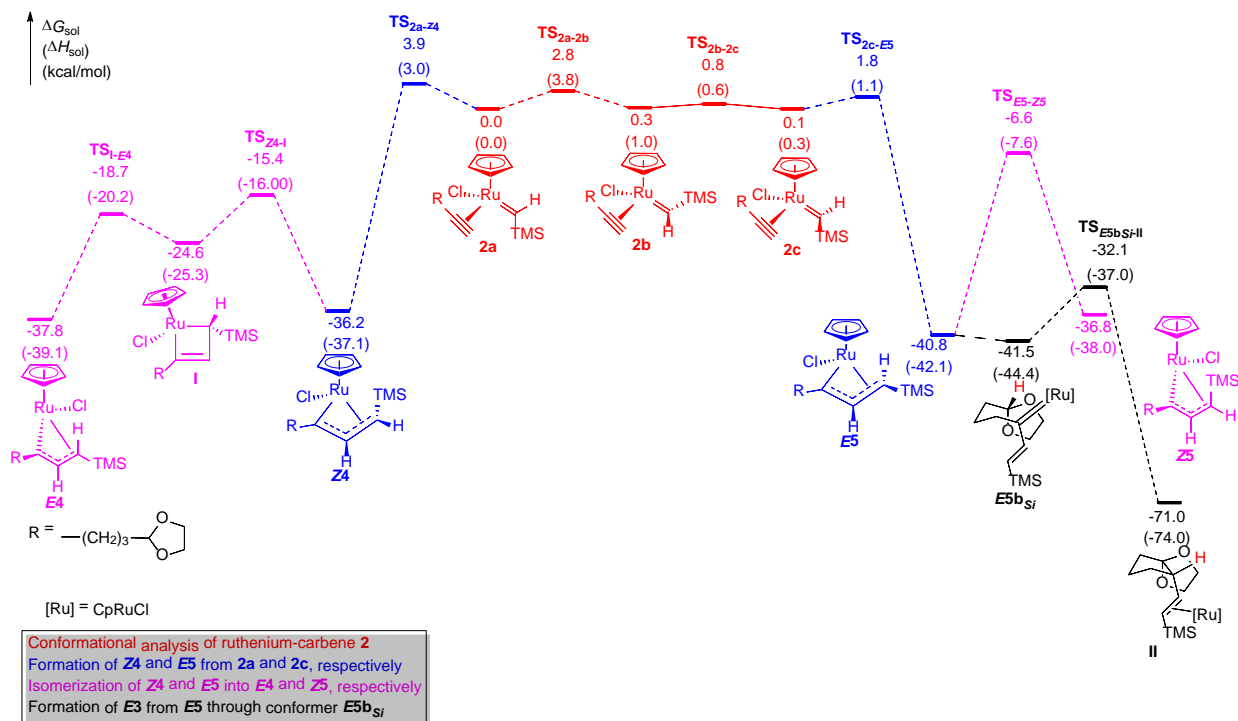


Figure 7. Free energy profile for the conformational analysis of **2**, formation of **Z4**, **E5** and its isomerization to **E4** and **Z5** and the formation of **E3** from **E5** using the  $\omega$ B97XD functional. Energies are relative to **2a** and are mass balanced.

The KMC study for the profile calculated using  $\omega$ B97XD functional, showed in Figure 7, with all the initial molecules in conformation **2a** is displayed in Figure 8.<sup>35</sup> After  $2 \cdot 10^{10}$  s **2a** was completely transformed into **Z4** and **E5** in a 16:84 ratio. After formation of **E5**, this intermediate evolved to the final product **E3** in 84% yield through the intermediate **E5b<sub>SI</sub>** without any isomerization to **Z5**. Intermediate **Z4** evolved slowly to the more stable intermediate **E4** after 20 s.

Again, the kinetic results obtained from the  $\omega$ B97XD and the B3LYP-D3 profiles agree very well, pointing out the robustness of the computational methodologies employed in the present study.

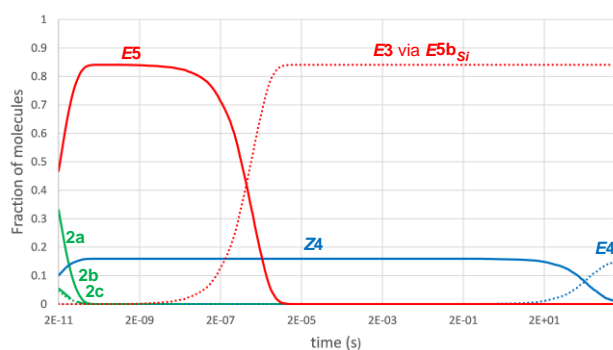


Figure 8. Fraction of molecules as a function of time obtained in the KMC simulations using the  $\omega$ B97XD profile.

We subsequently turned our attention to the same reaction but using  $\text{Cp}^*\text{RuCl}(\text{cod})$  as catalyst, which showed the same stereoselectivity but gave better chemical yields.<sup>10</sup> As in the previous example, the conformational equilibrium of the initially formed ruthenium carbene species **2\*** ( $\text{Cp}^*$  instead of  $\text{Cp}$ ) was analyzed (Figure 9). In this case, three conformational minima were also obtained

but with a different energy profile than when  $\text{CpRuCl}(\text{cod})$  was used (Figure 1): the most stable conformer was complex **2a\***, which has the bulky TMS group opposite to the  $\text{Cp}^*$  ring; complex **2b\***, which has the TMS group away from the ruthenium alkyne ligand, was 4.4 kcal mol<sup>-1</sup> less stable than **2a\***, and complex **2c\***, in which the TMS group is close to the ruthenium alkyne ligand, was 3.1 kcal mol<sup>-1</sup> less stable than **2a\***. The most unfavorable rotational isomerization, with  $G^\ddagger = 9.1$  kcal mol<sup>-1</sup>, was obtained for the system in which the TMS group was located just beneath the  $\text{Cp}^*$  ring (**2b\*→2c\***). The other two rotational isomerizations present lower energy barriers, with values for the Gibbs free energy of activation of 5.4 and 5.6 kcal mol<sup>-1</sup> for **2a\*→2b\*** and **2c\*→2a\***, respectively (Figure 9).

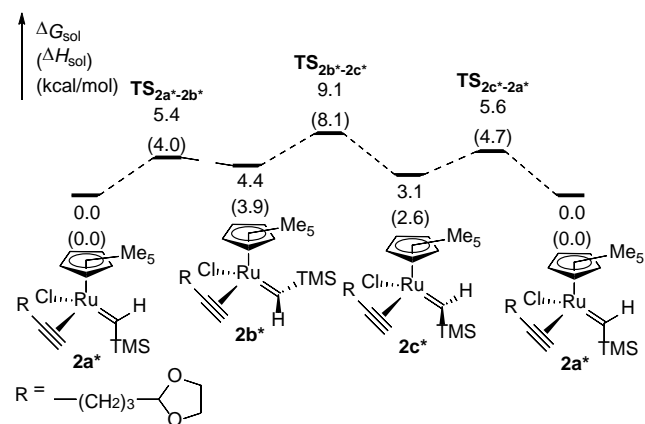


Figure 9. Free energy profile for conformational analysis of ruthenium-carbene **2\***. Energies are relative to **2a\*** and are mass balanced.

We proceeded to evaluate the formation of the two  $\eta^3$ -vinyl carbene complexes **Z4\*** and **E5\*** from **2a\*** and **2c\***, with  $\Delta G^\ddagger = -28.1$

and  $-36.3$  kcal mol<sup>-1</sup> and  $\Delta G^\ddagger = 8.0$  and  $7.7$  kcal mol<sup>-1</sup>, respectively (Figure 10).

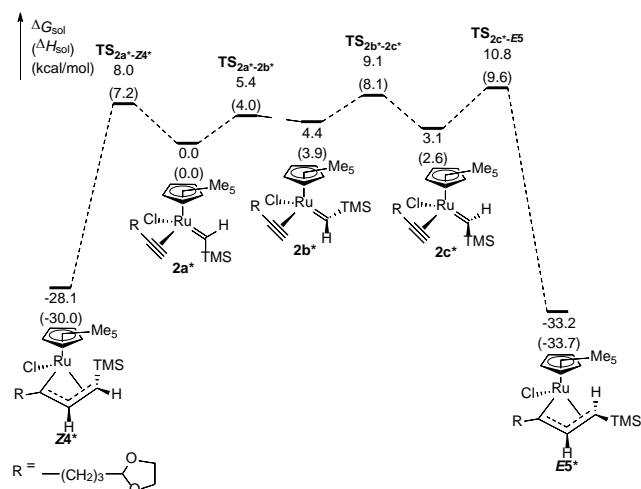


Figure 10. Free energy profile for the formation of  $Z4^*$  and  $E5^*$  from  $2a^*$  and  $2c^*$ , respectively. Energies are relative to  $2a^*$  and are mass balanced.

Given the  $\Delta G^\ddagger$  values shown in the energy profile for the formation of  $Z4^*$  and  $E5^*$  (Figure 10), it is reasonable to consider that most of the ruthenium carbene species  $2^*$  will evolve through pathways involving intermediate  $Z4^*$ . Thus, we first calculated the isomerization of  $Z4^*$  to  $E4^*$  via intermediate  $I^*$  (Figure 11) and, as before, the pathways leading to  $Z3$  (through the conformers  $Z4a_{Si}^*$  and  $Z4b_{Re}^*$ ) and  $E3$  (through conformers  $E4a_{Si}^*$ ,  $E4b_{Si}^*$ ,  $E4a_{Re}^*$  and  $E4b_{Re}^*$ ) were evaluated.

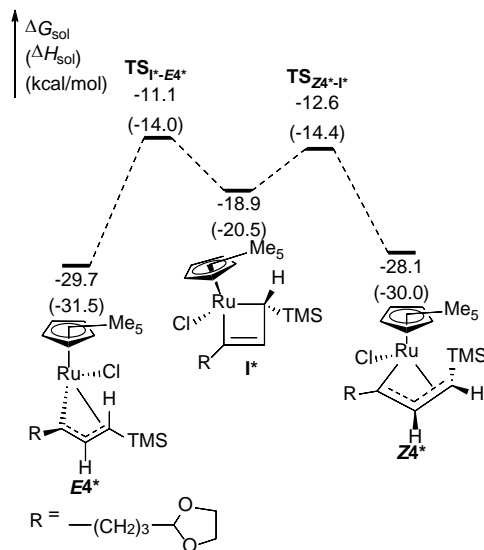


Figure 11. Free energy profiles for the isomerization of  $Z4^*$  to  $E4^*$  through  $Cp^*$  ruthenacyclobutenes  $I^*$ . Energies are relative to  $2a^*$  and are mass balanced.

KMC simulations including the six feasible pathways, bearing in mind once again that all molecules of the initially formed carbene species  $2^*$  are in the conformation represented by  $2a^*$ ,<sup>36</sup> show that  $E3$  is obtained exclusively (Figure 12). After approximately  $1.5 \cdot 10^{-6}$  s, all of the conformers of carbene  $2^*$  had been transformed into  $Z4^*$  (99%) and  $E5^*$  (1%), thus showing that pathways involv-

ing intermediate  $E5^*$  can be ruled out. After  $2 \cdot 10^{-3}$  s,  $Z4^*$  started to isomerize to  $E4^*$  through intermediate  $I^*$ . Finally,  $E3$  was obtained in 94% yield via a pathway involving  $\eta^3$ -vinyl carbene  $E4b_{Si}^*$  (Figure 10). The other minor active pathways leading to  $E3$  involve the intermediate species  $E4a_{Si}^*$  and  $E4b_{Re}^*$  to give 3% and 1% yield, respectively. A minor amount of isomer  $Z3$  was also obtained through pathway involving  $Z4a_{Si}^*$  (1% yield). In comparison to the mechanistic study with  $CpRuCl(cod)$ , pathways leading to  $Z3$  were not active at all and there was almost a unique pathway to the final product  $E3$ , which could explain the better yields observed experimentally when  $Cp^*RuCl(cod)$  is used.

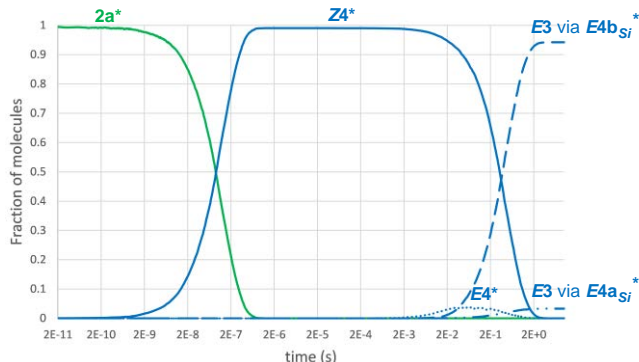


Figure 12. Fraction of molecules as a function of time obtained in the KMC simulations.

The main pathway responsible for the major formation of  $E3$  involves the initial isomerization of  $\eta^3$ -vinyl carbene  $E4^*$  to its chair-like conformation  $E4b_{Si}^*$ , which is  $0.5$  kcal mol<sup>-1</sup> more stable,<sup>37</sup> followed by hydride migration over the *Si* face to give species **III** with  $\Delta G^\circ = -23.8$  kcal mol<sup>-1</sup> and  $\Delta G^\ddagger = 15.3$  kcal mol<sup>-1</sup>. Finally, as in the case of the  $CpRuCl(cod)$ , decooordination of the ruthenium will afford free  $E3$  (Figure 13).

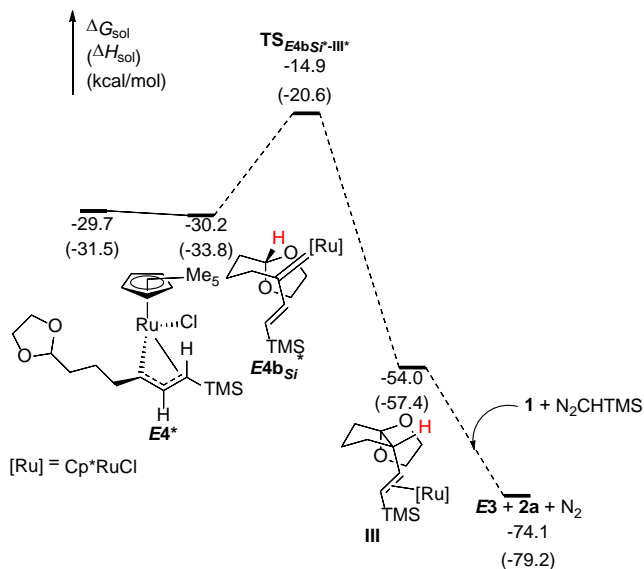


Figure 13. Free energy profile for the formation of  $E3$  from  $E4^*$  through conformer  $E4b_{Si}^*$ . Energies are relative to  $2a^*$  and are mass balanced.

The calculated energetic span,  $\delta E$ , for this pathway was 17.0 kcal mol<sup>-1</sup> where the TDI is **Z4\*** and the TDTS is the transition state for the isomerization step **I\*** to **E4\***, showing that the rate determining step in this case is the *Z* to *E* isomerization, rather than the hydride migration, being the calculated TOF 1.9 s<sup>-1</sup>. The other six calculated pathways both have a higher energetic span,  $\delta E$ , and smaller TOF,<sup>32</sup> thus showing that the path involving the intermediate **E4b<sub>st</sub>\*** is the most active in the case where Cp\*Ru(cod)Cl is used as catalyst.

In summary, we have calculated all pathways for the reaction of alkyne acetal **1** with the ruthenium carbenes **2** and **2\*** to give the spiroacetals **Z3** and **E3** (Scheme 4). Although both theoretical and experimental results show the exclusive formation of spiroacetal **E3**, there are significant peculiarities in the mechanistic pathways depending on the catalyst used: (i) the conformational free energy profile for the two ruthenium carbene species **2** and **2\*** is different both in terms of  $\Delta G^\circ$  and  $\Delta G^\ddagger$  (Figure 1 vs Figure 9); (ii) their transformations either to *Z*- or *E*-vinyl carbenes have different  $\Delta G^\ddagger$  values (Figure 2 vs Figure 10). Therefore, with CpRu as catalyst, the main pathways go through the most favorable formation of intermediate **E5** and conformer **E5b<sub>st</sub>** (Figure 6). On the other hand, with Cp\*Ru as catalyst, the initial formation of intermediate **Z4\*** is favored (Figure 10) and its isomerization to **E4\*** is faster than its transformation to the product **Z3** to finally afford **E3** through conformer **E4b<sub>st</sub>\*** (Figure 13). KMC simulations and the energetic span model,  $\delta E$ , confirm these results. In conclusion, for a reaction that proceeds through a TMS-substituted ruthenium vinyl carbene as intermediate, the use of CpRu as catalyst should afford *E*

vinyl silanes as major isomer, while the use of Cp\*Ru should give rise to *E*, *Z* or a mixture depending on the evolution ( $\Delta G^\ddagger$ ) of the initial *Z* vinyl silane formed.<sup>38</sup>

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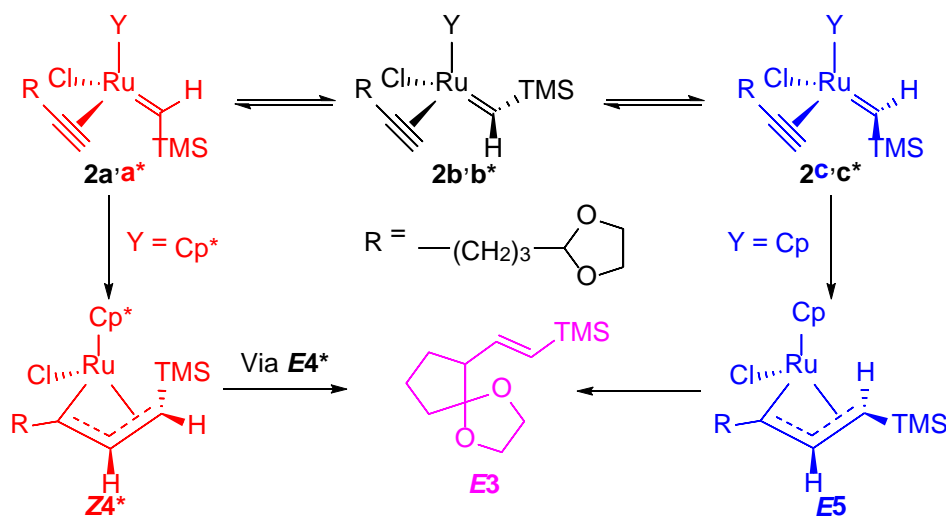
The authors dedicate this article to Professor Agustí Lledós (Univ. Autònoma de Barcelona, Spain) on the occasion of his 60th birthday. This work was supported by MICINN (Spain) (projects CTQ2011-28258 and CTQ2014-51912REDC), Xunta de Galicia, and the European Regional Development Fund (projects GRC2014/032 and EM 2012/051). F.C. thanks XUGA for a predoctoral contract. We are also grateful to the CESGA (Xunta de Galicia) for computational time.

## ASSOCIATED CONTENT

**Supporting Information.** Optimized geometric parameters for all the calculated structures, non-active pathways and TOF calculations. This material is available free of charge via the Internet at <http://pubs.acs.org>.

## ABBREVIATIONS

TDI, TOF-determining intermediate; TDTS, TOF-determining transition state; TOF, turn over frequency.



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